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Cover Caption: Modeling diversity of ants, birds, butterflies, and ferns from ocean to mountaintop on Mt. Wilhelm, Papua New Guinea
Photo Credit: Katerina Sam (bird and butterfly photos) and Maurice Leponce (landscape, ant, and fern photos).
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IDEA AND PERSPECTIVE

Midpoint attractors and species richness: Modelling the interaction between environmental drivers and geometric constraints

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Abstract

We introduce a novel framework for conceptualising, quantifying and unifying discordant patterns of species richness along geographical gradients. While not itself explicitly mechanistic, this approach offers a path towards understanding mechanisms. In this study, we focused on the diverse patterns of species richness on mountainsides. We conjectured that elevational range midpoints of species may be drawn towards a single *midpoint attractor* – a unimodal gradient of environmental favourability. The midpoint attractor interacts with geometric constraints imposed by sea level and the mountaintop to produce taxon-specific patterns of species richness. We developed a Bayesian simulation model to estimate the location and strength of the midpoint attractor from species occurrence data sampled along mountainsides. We also constructed *midpoint predictor* models to test whether environmental variables could directly account for the observed patterns of species range midpoints. We challenged these models with 16 elevational data sets, comprising 4500 species of insects, vertebrates and plants. The midpoint predictor models generally failed to predict the pattern of species midpoints. In contrast, the midpoint attractor model closely reproduced empirical spatial patterns of species richness and range midpoints. Gradients of environmental favourability, subject to geometric constraints, may parsimoniously account for elevational and other patterns of species richness.

Keywords

Bayesian model, Biogeography, elevational gradients, geometric constraints, mid-domain effect, midpoint predictor model, stochastic model, truncated niche.

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INTRODUCTION

The search for a unified mechanistic understanding of repeated, global and regional patterns of species richness has long been frustrated by taxonomic and geographical

idiosyncrasies, lack of reliable climatic data on appropriate spatial scales, and reliance on case studies built from statistical correlation and *post hoc* conjecture. We offer no cures for these many ills, but instead, propose a novel conceptual approach. While not itself mechanistic, by unifying and

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quantifying discordant patterns of species richness, this framework offers a path towards understanding mechanism. We develop and illustrate this approach for terrestrial, elevational gradients. However, the framework and statistical model are quite general, could easily be applied to other habitats, and could be extended from one-dimensional to two- or even three-dimensional spatial domains.

Along any continental latitudinal transect, species richness for most higher taxa peaks in the tropics, where mean annual temperature is the highest and annual variability in temperature is lowest (Wright *et al.* 2009; Chan *et al.* 2016). Regardless of latitude, temperature on most mountainsides declines steadily with elevation, driven by adiabatic cooling, so that the warmest temperatures usually prevail at the bottom of elevational gradients (Ahrens 2013; Fan & van den Dool 2008). Net primary productivity (NPP), although crucially dependent on precipitation, is strongly driven by temperature. Thus, if radiant energy or NPP are fundamentally responsible for the latitudinal richness pattern, as many ecologists suggest (Currie *et al.* 2004; Allen *et al.* 2007), species richness for higher taxa along elevational transects in humid climates should be expected to peak at the lowest elevations.

However, in a review of hundreds of published examples, Rahbek (1995, 2005) showed that species richness usually does *not* peak at the bottom of elevational gradients. For the preponderance (70%) of studies that encompassed complete elevational gradients and accounted for sampling effects, species richness peaked, instead, at intermediate elevations. Declining richness with elevation was the second most-common pattern, but was found in < 20% of studies (Rahbek 2005). Among other things, these meta-analyses imply that, for most terrestrial taxa, local species richness peaks at intermediate tropical elevations, rather than in the tropical lowlands.

Many explanations have been proposed for mid-elevation richness peaks, and surely no single factor is responsible. For some clades, intermediate climatic conditions at these elevations may be more suitable for survival and reproduction:

lower elevations may be too hot or too dry (McCain 2007) and higher elevations too cold, too wet or too cloudy (Longino *et al.* 2014). A history of speciation (or more precisely, net diversification) within a clade that is constrained by its heritable environmental tolerances to a specific range of elevations, can lead to a build-up of species at intermediate elevations (Graham *et al.* 2014; Wu *et al.* 2014). In the tropics, a history of mountaintop extinctions during glacial minima and sea level extinctions during glacial maxima could also produce or enhance mid-elevation richness peaks (Colwell & Rangel 2010). Spatially structured dispersal within an elevational domain, such as source-sink dynamics (Grytnes 2003; Grytnes *et al.* 2008) or ecotonal mixing (Lomolino 2001), could also lead to peaks of species richness at intermediate elevations.

Geometric constraints

In addition to these ecological and historical explanations, Colwell & Hurtt (1994) showed, with a simple stochastic model, that a mid-elevation richness peak might be expected even in the absence of climatic drivers or historical forces. In their model, a mid-elevation richness peak arises from the tendency of larger species ranges to overlap more at mid-elevations than at high or low elevations, when they are *geometrically constrained* by the hard boundaries (sea level and the mountaintop) of an elevational domain. Figure 1a offers a physical analogy (a pencil-box) for this phenomenon, which later became known as the *mid-domain effect* (Colwell & Lees 2000) or MDE, because, in a simple 1-dimensional domain, the expected distribution of species richness under this model is exactly symmetrical about the centre of the domain. Geometric constraints have been generalised to other bounded spatial (Storch *et al.* 2006) and non-spatial (Letten *et al.* 2013) domains at the assemblage level, as well as to studies of home ranges (Prevedello *et al.* 2013) and the movement of individuals within a population (Tiwari *et al.* 2005).

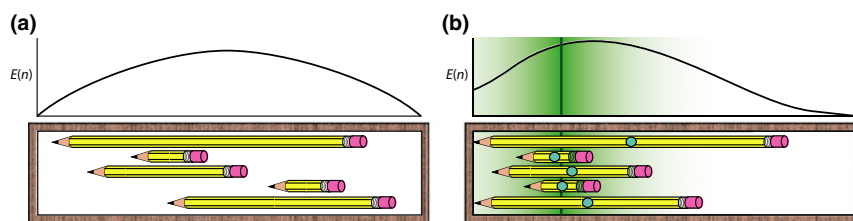


Figure 1 Geometric constraint models. (a) The *classic geometric constraint model* illustrated by a physical analogy: a set of pencils (species), some shorter and some longer (narrower and wider elevational ranges), stored in a schoolchild's old-fashioned pencil-box (the bounded elevational domain) (Colwell *et al.* 2004). If the box is shaken end to end, horizontally, so that the position of each pencil is randomised, the expected number $E(n)$ of pencils that overlap (species richness) near the middle of the box is inevitably greater than the number that overlap nearer the ends of the box, a pattern that is symmetric around the centre of the box. But the constraint does not act uniformly on the pencils as the box is shaken: the shorter pencil stubs move more widely and freely than the longer pencils. By analogy, the distribution of small-ranged species is less constrained by geometry than the distribution of large-ranged species (Colwell & Lees 2000; Dunn *et al.* 2007). (b) A physical analogy for the *midpoint attractor model*. Suppose that each pencil has a steel ball bearing embedded at its midpoint (blue circles). A magnetic field, the attractor, is applied across the pencil box (green). As the box is shaken end to end, the pencils tend to collect near the attractor, as their midpoint ball bearings are drawn towards the magnet. If the attractor is located near one end of the box, as illustrated, the expected number of pencils $E(n)$ that stack up at any location along the length of the pencil box is asymmetric. However, because the midpoints of the longer pencils cannot align with the magnet (since longer pencils abut the end of the box), the peak of $E(n)$ does not coincide with the centre of the attractor. Thus $E(n)$ is influenced jointly by the attractor (the magnet) and the constraint (the limits of the pencil box). The pattern of $E(n)$ is narrow when the attractor is strong, broad when the attractor is weak.

Early studies treated geometric constraints as a stand-alone hypothesis, subject to falsification if it failed to fully explain patterns of richness (see Colwell *et al.* 2004, 2005), or strictly as an alternative hypothesis to environmental explanations (Currie & Kerr 2008). But this either/or perspective misses the point that constraints and drivers do not operate independently, but instead interact. It has proven challenging to integrate geometric constraints with environmental and historical explanations for patterns of species richness. We review the history of these efforts in *Appendix 2, Supplemental Introduction*.

A Bayesian midpoint attractor model

The likelihood that several different mechanisms contribute to elevational richness peaks calls for a conceptually and methodologically unifying approach to these patterns at a different level. We introduce the idea that species elevational ranges, which underlie elevational richness gradients, can be treated and modelled as if responding, independently, to a single environmental *attractor* that operates within the geometric constraints of an elevational domain and is specific to a taxon-based assemblage. We develop this approach as a simulation model, apply it to a diverse group of data sets, and then discuss it from the broader perspective of biogeographical gradients.

We take a novel approach to integrating environment with geometric constraints over elevational gradients. Inspired by Wang & Fang's (2012) evidence that large- and small-ranged species respond similarly to environmental drivers and by Rangel & Diniz-Filho's (2005) mechanistic model, we postulate the presence of an underlying unimodal 'favourability' gradient, specific to each elevational transect and to each taxon or functional group.

We modelled the simplest possible pattern of environmental favourability – a unimodal peak – on the simplest possible domain – the unit line. The model is general, but in this study, we assume that the one-dimensional unit domain represents an elevational transect from low elevation (sea level, for all our data sets) to the highest habitable point on a mountain massif. Somewhere along this elevational domain lies a unimodal *midpoint attractor*, specific to the locality and taxon, representing a gradient of 'attraction' for species range midpoints. A continuous function describes the relative strength of the attractor at every point within the domain (Fig. 2).

We model the midpoint attractor as a normal (Gaussian) probability density function $N(A, B)$ with two parameters: its mean location A ($0 < A < 1$) on the unit-line domain, and its standard deviation B ($0 < B < 1$) around the attractor, an inverse measure of attractor strength (Fig. 2). Because the unit domain is bounded at 0 and 1, A and B determine not only the location and shape of the attractor, but also jointly define the upper and lower bounds of the attractor distribution, which is truncated at the domain limits (Fig. 2). To simulate a bounded elevational richness pattern driven by the midpoint attractor, we place the empirical elevational ranges (transformed to unit-line equivalents) on the domain stochastically, sampling their midpoints from the modelled attractor probability density function (which we will henceforth call,

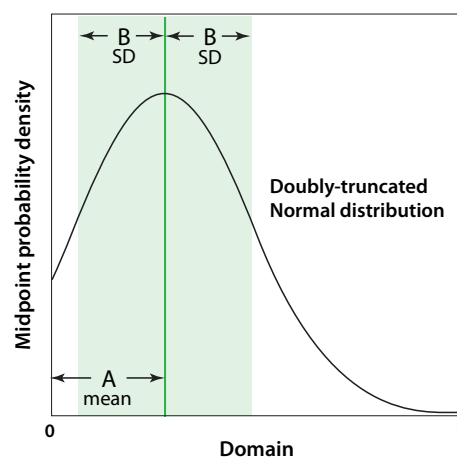


Figure 2 The midpoint attractor modelled with a doubly truncated Gaussian probability density function with mean A and standard deviation B . Parameter A controls the position of the attractor on the gradient. Parameter B controls the strength of the attractor (small B = a strong attractor, large B = a weak attractor).

simply, *the attractor*). Figure 1b updates the pencil-box analogy for the classic MDE by adding an off-centre attractor for pencil midpoints.

We developed a Bayesian model to estimate the optimum shape and position of the midpoint attractor for a particular taxon on a particular elevational gradient. The model aims to explain the empirical distribution of species elevational ranges (as indexed by their elevational midpoints), and thus to account for empirical patterns of richness on mountainsides, under geometric constraints. With a centred Gaussian distribution as the starting point, the model employs a simple Gibbs sampler (Gelman *et al.* 2013) to find the posterior distributions of parameter values for the attractor (its location, A , and strength, B), that are most probable ($P(\text{model} | \text{data})$), given the observed elevational pattern of species richness and the empirical *range size frequency distribution* (RSFD).

The midpoint attractor model does not incorporate any environmental data into the estimation of these parameters. It makes no assumptions or *a priori* hypotheses about which environmental or biotic factors might be driving the attractor and the favourability gradient it represents. Instead, once a well-fitting attractor model has been identified using this approach, we subsequently attempt to interpret the attractor statistically in terms of empirically measured environmental variables.

Although the midpoint attractor model maximises $P(\text{model} | \text{data})$, most previous attempts to interpret richness patterns have, instead, been conducted in a traditional, frequentist framework, estimating the probability of the data (observed richness), given a specified multivariate statistical model ($P(\text{data} | \text{model})$). The statistical model usually takes the form of a regression of species richness on environmental variables, with (Longino & Colwell 2011) or without (Hawkins *et al.* 2003) a predictor variable for geometric constraints. To compare the results from our Bayesian analyses with these traditional, correlative analyses, we carried out multiple regressions of species richness over elevational gradients, as a

direct function of the same environmental variables that we used to interpret the attractors.

Midpoint predictor models

In addition to the midpoint attractor model, we built two alternative, stochastic, *midpoint predictor models* – one with and one without geometric constraints – that directly assessed environmental variables as predictors of midpoint density (not species richness) over the elevational gradient. In these models, as in the midpoint attractor model, each empirical range midpoint is placed on the domain stochastically. However, range placement is not driven by a hypothetical attractor, as it is in the Bayesian midpoint attractor model. Instead, at each point in the domain, the probability of midpoint placement is directly and linearly proportional to the value of a single, measured, environmental variable (e.g. temperature or precipitation), regardless of the elevational pattern of the variable. Although the midpoint *attractor* model seeks an optimal location and optimal strength for a hypothetical attractor, the midpoint *predictor* models assess the fit of the empirical midpoint data to a probability distribution directly defined by a measured environmental variable. This approach is somewhat akin to the models of Storch *et al.* (2006) and Rahbek *et al.* (2007), but contrasts with the traditional MDE model, in which the probability of midpoint occurrence is constant across the domain.

Application of the models

We applied the midpoint attractor model and the two midpoint predictor models to 16 high-quality data sets that recorded the elevational distribution of more than 4500 species of ferns, insects, mammals or birds in globally distributed localities, mostly in the tropics (Table S1, *Appendix 1*). As we will demonstrate, with or without geometric constraints, the midpoint predictor models generally provide a poor fit to the observed pattern of range midpoints. In contrast, the Bayesian midpoint attractor model simulations consistently produce a good fit to both species richness and midpoint distributions of empirical data sets.

MATERIALS AND METHODS

Empirical data sets and data representation

We applied the midpoint attractor model and the two midpoint predictor models to the 16 data sets detailed in Table S1 (*Appendix 1*). Three groups of data sets included multiple taxa studied on the same gradients: northern Costa Rica, Mt. Wilhelm in Papua New Guinea and the Border Ranges in Australia. To label the individual data sets, we preface the name of the taxonomic group with the name of the geographical location of the gradient (e.g. 'New Guinean ants', 'Costa Rican ferns', etc.). The biogeographical data from these studies consist of species occurrences recorded at a variable number of sampling elevations (5–70 elevations, median = 8) along each gradient. Each data set also included measurements for two or more environmental factors along the

gradient (Table S1, *Appendix 1*). We rescaled each elevational domain to the [0, 1] unit line. Within this domain, we standardised sampling points and converted species occurrence records into an estimated elevational range and midpoint for each species, following data preparation protocols detailed in *Supplemental Materials and Methods (Appendix 2)*. Each data set was represented in two ways: A *midpoint-range plot* (Colwell & Hurtt 1994), with range size as the ordinate and range midpoint as the abscissa for each range in a data set (Fig. 3, *right panel*, grey-scale dots and horizontal line segments), and a corresponding *species richness plot*, showing the number of overlapping ranges at each of a sequence of sampling locations (elevations) spanning the domain (Fig. 3, *left panel*, black dots).

The Bayesian midpoint attractor model

As outlined in the Introduction, we modelled the midpoint attractor as a Gaussian probability density function $N(A, B)$ with two parameters: its mean location A ($0 < A < 1$) on the unit-line domain, and its standard deviation B ($0 < B < 1$) around the attractor (Fig. 2). Because a Gaussian distribution extends from negative to positive infinity, the attractor distribution is truncated at the lower (0) and upper (1) bounds of the domain.

The choice of a unimodal midpoint attractor distribution was based on the empirical prevalence in the published literature of unimodal peaks of species richness (Rahbek 2005), which in turn suggest unimodal midpoint patterns. Our choice of a doubly truncated Gaussian distribution to represent the attractor, rather than a probability distribution that declines to zero at the domain limits (e.g. the beta distribution), was based on biological grounds: many species are regularly present at either sea level or mountaintop, their realised distributions directly abutting a domain limit. Such geographical distributions suggest that these species could readily tolerate more extreme conditions than those at domain limits, on a particular elevational gradient. For example species living at sea level on a mid-latitude elevational gradient might well tolerate even warmer temperatures at a lower latitude. Fundamental niches may fail to be fully expressed for many reasons, but we suggest that elevational domain limits may often impose environmental niche truncation (Colwell & Rangel 2009; Feeley & Silman 2010).

To model the expected pattern of species richness under the influence of the attractor, each of the empirical ranges in a data set is placed on the domain stochastically, without replacement, with its midpoint drawn at random from a proposed attractor distribution $N(A, B)$. To enforce the geometric constraint (Fig. 3, *right panel*) and maintain the empirical RSFD, the midpoint is sampled from this distribution only over the interval of feasible midpoints, given the size of each range, such that the range does not extend beyond either the lower or upper domain limit (Colwell & Lees 2000). For a range of length R , this means that the midpoint must lie in the interval $[R/2, 1 - R/2]$. For these stochastic range simulations, we explored two alternative algorithms for placing ranges within the domain, within this constraint. The two algorithms differ only in how the placement constraint is achieved.

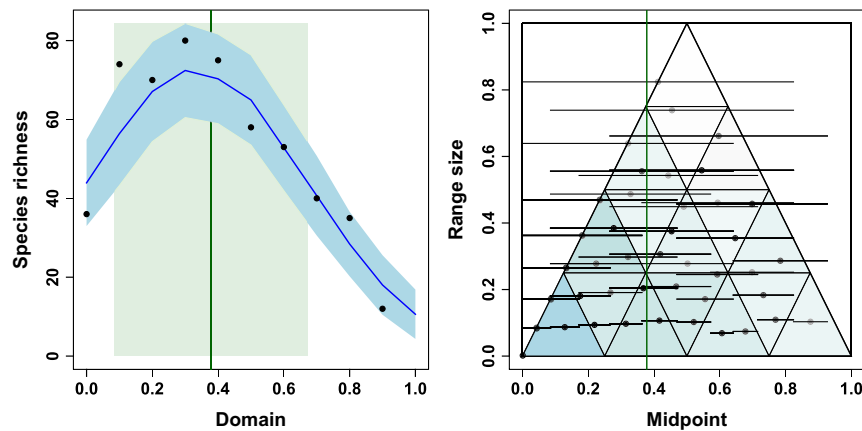


Figure 3 The Bayesian midpoint attractor model applied to the Costa Rican arctiine moth data set (222 species sampled across a 2906 m elevational domain, rescaled to a [0,1] unit line, where 0 represents sea level). *Left panel:* Mean species richness (dark blue line) and 95% confidence interval (light blue band) for 100 simulations. The simulation is driven by a midpoint attractor (dark green vertical line) at 0.378, with a standard deviation (light green rectangle) of 0.294. These parameter values were chosen to maximise the fit of modelled species richness (blue line) to empirical species richness pattern (black dots), using a simple MCMC Gibbs sampler. Empirical range sizes are maintained in the simulation. *Right panel:* Midpoint-range plot for the same data. The x -axis is the location of the range midpoint for each species on the elevational domain, and the y -axis plots the elevational span of the range (range size). The large triangle sets the geometrically feasible midpoint limits for ranges of a given size. Black and grey points and associated horizontal line segments illustrate the empirical midpoint and range values for the 222 species of moths. Because many species have identical ranges and midpoints in this data set, the shading of each point is proportional to the number of coincident species midpoints. The white-to-blue colour scale in the 16 small triangles is proportional to the mean number of modelled points falling in each triangle, averaged over the 100 runs of the simulation. The correspondence between the number of empirical points (the density of black points and their grey saturation) and the average number of modelled points (blue saturation) among the 16 small triangles is significant at $P < 0.001$ for this data set (Table 1). (See *Materials and Methods* for details of the test.) Note that both empirical and modelled midpoint density is stronger to the left of the attractor than to its right, reflecting the build-up of ranges near sea level, constrained by the domain boundary.

In Algorithm 1, for a species with an empirical range of length R , a midpoint is simply drawn from $N(A, B)$ on the interval $[R/2, 1 - R/2]$ and assigned to the species. Biologically, this algorithm assumes that the elevational distribution of a typical species reaches the limits of its environmental niche within the scope of the gradient, because neither its upper nor its lower range limit is likely to reach a domain limit. This algorithm is the equivalent, for the midpoint attractor model, of the *classic MDE model* of Colwell & Hurtt (1994, their Model 2).

In Algorithm 2, a candidate midpoint is drawn from $N(A, B)$ on the full domain interval [0, 1]. If the candidate midpoint lies within the interval $[R/2, 1 - R/2]$, it is assigned to the species and the next species is considered. If it lies to the left of the interval $[R/2, 1 - R/2]$, then $R/2$ is assigned as the midpoint, whereas if the midpoint lies to the right of the interval $[R/2, 1 - R/2]$, then $1 - R/2$ is assigned. The result is that each such shifted range exactly abuts a domain limit. Because it preserves the empirical RSFD, while allowing a range to reach a domain limit (Connolly 2005), this algorithm is the equivalent, for a one-dimensional domain, of the classic two-dimensional *spreading dye* model of Jetz & Rahbek (2001). Biologically, it captures the idea that the limits of environmental niches of species on ecological gradients are often not fully expressed, so that observed distributions are based on truncated niches. Hence, a better fit to Algorithm 2 than to Algorithm 1 would suggest the prevalence of truncated niches.

By design, these stochastic placement algorithms preserve the empirical RSFD, whereas empirical midpoints are completely ignored. Thus, given the RSFD, correspondence

between modelled and empirical patterns of richness, and between empirical and modelled patterns of midpoints, is driven by the location and strength of the attractor.

Just as for empirical richness patterns, the modelled richness at sampling points on the domain is simply the number of stochastically placed ranges that overlap at each sampling point. Because range midpoints are assigned from a statistical distribution (the midpoint attractor), however, each run (realisation) of the midpoint attractor simulation yields a somewhat different pattern of richness over the domain. As illustrated in Fig. 3 (*left panel*), over many runs (e.g. 100), a mean result (dark blue line) and a 95% confidence interval (light blue band) can be defined and plotted to compare with empirical richness (black dots).

In an Approximate Bayesian Computational framework (Marjoram *et al.* 2003; Hartig *et al.* 2011), we used a simple, custom Monte Carlo Markov Chain (MCMC) Gibbs sampler to seek the posterior distribution of model parameters A and B (which, together, fully define the location, shape and truncation points of the Gaussian attractor) that maximised the probability of the model, given the empirical species richness pattern and the empirical RSFD for each data set. In other words, this procedure finds the location and shape of the midpoint attractor that provides the best fit between modelled richness and empirical richness. The details of the ABC and MCMC procedures appear in *Supplemental Materials and Methods (Appendix 2)*.

In summary, the midpoint attractor model simulates the interaction between a simple, unimodal environmental gradient (the attractor) and the geometric constraints imposed by domain limits. As in the pencil-box analogy (Fig. 1b), because

of the constraint, the distribution of predicted midpoints in the model will not always centre on the attractor. Therefore, the closer the modelled attractor lies to one of the two domain limits, the greater the expected discrepancy between the location of the attractor and the mean location of range midpoints on the domain. Because of this discordance, if the model fitting procedure is successful, we expected that empirical species richness should correlate more strongly with modelled species richness, as simulated by the midpoint attractor model, than with the attractor itself, for communities with off-centre attractors.

Statistical comparison between modelled and empirical midpoint densities

Conceivably, the midpoint attractor model could provide a good fit to the empirical species richness pattern, but fail to produce an underlying pattern of range midpoints within the domain that resembles the corresponding empirical pattern of midpoints: the right answer for the wrong reasons. As an additional, more-detailed assessment of fit, we devised a statistical measure of the correspondence between the modelled and empirical patterns of midpoints and ranges, which we applied to the results of the Bayesian model.

We divided the constraint triangle of the midpoint-range plot evenly into 16 smaller triangles (Fig. 3, *right panel* and Fig. S3, *Appendix 1*) (Laurie & Silander 2002). As a statistic of correspondence between empirical and modelled midpoint density distributions in the 16 sub-triangles, we used the rank of the observed OLS R^2 , computed for the 16 sub-triangles, among 999 R^2 values generated by bootstrap resampling. Raw R^2 is inflated by the fact that the total number of points within each of the four rows of smaller triangles (triangle 1, triangles 2–4, 5–9 and 10–16 in Fig. S3) is identical for modelled and empirical distributions. These numbers are identical because the empirical RSFD is used, for each data set, to construct the modelled distribution.

To establish an unbiased sampling distribution, the midpoints within each of the lower three rows of triangles were shuffled at random among the triangles within each row (e.g. among triangles 5–9) and R^2 was computed between the empirical counts and the shuffled counts for all 16 triangles, 999 times. Triangle 1 is constrained to have exactly the same number of points for modelled and empirical data, so no shuffling can be done. The ordinal P -value for the modelled vs. empirical R^2 was then based on its rank among the 999 bootstrapped values of R^2 .

To assess the prediction (Wang & Fang 2012) that species with small ranges and species with large ranges respond to the same attractor (an assessment not possible with the Bayesian model alone), we repeated the bootstrap procedure separately for larger ranged species (range size > 0.25 of the domain) and for smaller ranged species (range size ≤ 0.25 of the domain).

Mapping midpoint attractors onto environmental variables

The Bayesian model optimises the location and shape of a simple midpoint attractor, without reference to environmental

variables measured along each of the gradients. In fact, we know from many sources of evidence that species and species groups respond in complex and often idiosyncratic ways to environmental and elevational gradients (Gotelli *et al.* 2009; Newbery & Lingenfelder 2009; Albert *et al.* 2010; McCain & Grytnes 2010; Presley *et al.* 2011; Sundqvist *et al.* 2011). As typical of most field studies, only limited environmental data were available for the elevational transects in our data sets, and data for different sets of environmental variables were available for different transects.

In an attempt to characterise attractors statistically in terms of underlying available environmental variables, we carried out (linear) multiple regressions, with AIC-based model selection, for each data set on each gradient. At each of a series of evenly spaced elevations, we treated the magnitude of the fitted attractor function as the response variable and the smoothed, interpolated environmental variables as candidate predictor variables. The multiple regression models were fitted using the application Spatial Analysis in Macroecology, version 4.0 (Rangel *et al.* 2010). The data points (elevations) for regression were the same, evenly spaced points across the unit-line domain that were used to fit each midpoint attractor (see *Supplemental Materials and Methods in Appendix 2*).

For comparison with traditional correlative approaches applied to explain species richness patterns, we carried out additional multiple regressions, in a model selection framework, with empirical richness as the response variable and environmental variables as candidate predictor variables. We also carried out simple linear regressions with empirical richness as the response variable and the magnitude of the fitted attractor function as the only predictor variable (visualising the results of the Bayesian fitting procedure).

Midpoint predictor models

The midpoint attractor model is, by design, an indirect approach to understanding the drivers of species richness over elevational gradients. As an alternative, direct approach, we designed two explicit *midpoint predictor models*, one with and one without geometric constraints, for the placement of empirical range midpoints within a domain as a direct function of measured environmental variables. For each of the two midpoint predictor models and each of the 16 elevational data sets, we assessed, statistically, the degree of correspondence between the empirical distribution of range midpoints within a domain and the midpoint distribution predicted by a stochastic simulation. In each simulation, range midpoints were placed stochastically on the domain, with the probability of placement at each location directly proportional to the magnitude of a measured environmental variable. In contrast with most other studies, including our midpoint attractor model, the midpoint predictor models consider only the frequency distribution of species midpoints along the elevational gradient, and not the resulting species richness arising from the overlap of species ranges. Details of the two midpoint predictor models and our approach to model evaluation appear in *Supplemental Materials and Methods (Appendix 2)*.

RESULTS

Midpoint attractors and geometric constraints

Figure 3 shows the empirical data and the fitted midpoint attractor model for the Costa Rican arctiine moth data set. The corresponding graphical results for the other 15 data sets appear in Figs 4 and 5, organised by locality and arranged in the figures to facilitate comparisons among taxonomically and geographically related data sets. We emphasise that the graphs for each data set show the results of 100 simulations using a single pair of values of the midpoint attractor parameters, A and B . These 'best' parameter values were chosen from the Bayesian posterior distribution for the corresponding data set (Fig. S2). For each data set, nearby values of these parameters produce similar graphs. The spreading dye algorithm (Algorithm 2) consistently yielded a fit between modelled and empirical richness that was at least as good, and often better, than the classic approach (Algorithm 1). Consequently, we used the spreading dye algorithm for all data sets in the final models (Table 1).

Table 1 displays the quantitative results for midpoint attractor parameters, and for each, the results for the statistical comparisons between modelled and empirical midpoint density patterns within the geometric constraint triangle (*right panel* for each data set in Figs 3–5). For 14 of the 16 data sets, the test affirms a highly significant (mean $P < 0.002$) correspondence between empirical and modelled midpoint density patterns. The two exceptions (Costa Rican ferns and North American butterflies), instructive in their own right, are discussed below (*Centred midpoint attractors*).

The comparison of modelled and empirical midpoint densities for large-ranged vs. small-ranged species confirmed the expectation that both large and small ranges are equally well fitted by the same midpoint attractor model for most data sets (11 of 16 data sets; Table 1). For a few data sets, a single attractor may not be an appropriate model. Bornean geometrid moths and perhaps North American mammals (Fig. 5) show signs of multimodal attractors, although the fit for a simple, unimodal attractor is nonetheless significant.

The quantitative results in Table 1 demonstrate the key role of geometric constraints in the modelled patterns of richness. As expected (*Materials and Methods*), the closer the modelled attractor is to a domain limit, the greater the discrepancy between the location of the attractor and the mean location of range midpoints on the domain (Fig. 6). In terms of the pencil-box analogy (Fig. 1b), the closer the magnet is set to one end of the box, the further the average pencil midpoint is forced away from the box end.

Moreover, the shift of mean midpoint locations towards mid-domain for ranges on gradients with off-centre attractors (Fig. 6) perhaps reconciles our results with the finding of several previous studies that species richness for small- and large-ranged species is correlated with different environmental factors (e.g. Dunn *et al.* 2006). Instead, the same environmental attractors may act differently on small- and large-ranged species to generate differing distributions. With off-centre attractors, the discordance between attractor and range midpoint increases with range size (e.g. Bornean geometrid moths, Fig. 5). Thus, for larger ranges, patterns of population density

or other indicators of performance or fitness may be asymmetrical around the range midpoint, with the performance or fitness peak lying closer to the attractor than to the range midpoint.

The fitted standard deviation of the midpoint attractor (parameter B in the simulations), an inverse measure of the strength of the attractor, varied from 0.023 (strong attractor) for Costa Rican ants to 0.476 (weak attractor) for North American butterflies (Table 1). The location of the midpoint attractor (parameter A) on the unit-line domain ranged from 0.065 for Costa Rican ants, with nearly monotonically declining richness with elevation, to several data sets with A near 0.5 (Costa Rican ferns and geometrid moths, North American butterflies and Australian moths and leaf-miner parasitoids) to 0.742 (Australian leaf miners, on a short, 1100 m gradient). When translated to absolute elevation, A and B vary even more strikingly, because the data sets vary from 1100 m to 4095 m in elevational scope (Table S1, *Appendix 1*).

How well did the model perform in simulating empirical richness? The first two graphs for each data set in Fig. S1 (*Appendix 1*) show: (1) the regression of empirical richness on the magnitude of the modelled midpoint function, and (2) the regression of empirical richness on modelled richness. Table S2 (*Appendix 1*) provides the corresponding statistical results. From these results, we can assess the expectation (*Materials and Methods*), based on the modelled interaction between the attractor and geometric constraints and the fitting method itself, that empirical species richness should correlate more strongly with modelled species richness than with the attractor itself. This expectation was borne out in 12 of the 16 data sets. In all but one of the exceptions, the fit of empirical richness to modelled richness did not differ, by AIC, from the fit of empirical richness to the attractor. In one data set with relatively low species richness (Australian leaf-miner parasitoids), empirical richness was significantly more strongly correlated with the attractor than with modelled richness.

Centred midpoint attractors

When the best-fit attractor lies near the centre of the domain, as it does for Costa Rican ferns and geometrid moths (Fig. 4), North American butterflies (Fig. 5) and Australian moths and leaf-miner parasitoids (Fig. 5) (all with $0.45 < A < 0.55$), the modelled pattern of richness may be quite symmetrical – but so is the expected pattern from a simpler MDE model of geometric constraints with no environmental drivers. For Costa Rican ferns, for example the prediction of the MDE model differs little from the corresponding plot with an optimised midpoint attractor (Fig. 7). The sub-triangle statistical test for the Costa Rican ferns and North American butterfly data sets yields no evidence of an attractor ($P > 0.994$) (Table 1), nor do the tests for large and small ranges for these two data sets ($P > 0.983$). Although the modelled and empirical midpoint densities correspond closely in these two data sets, neither differs from a random distribution of midpoints (given the empirical RSFD), necessarily the baseline for judging the presence of an attractor (*SI Materials and Methods*). Costa Rican geometrid moths show this same result for small-ranged species.

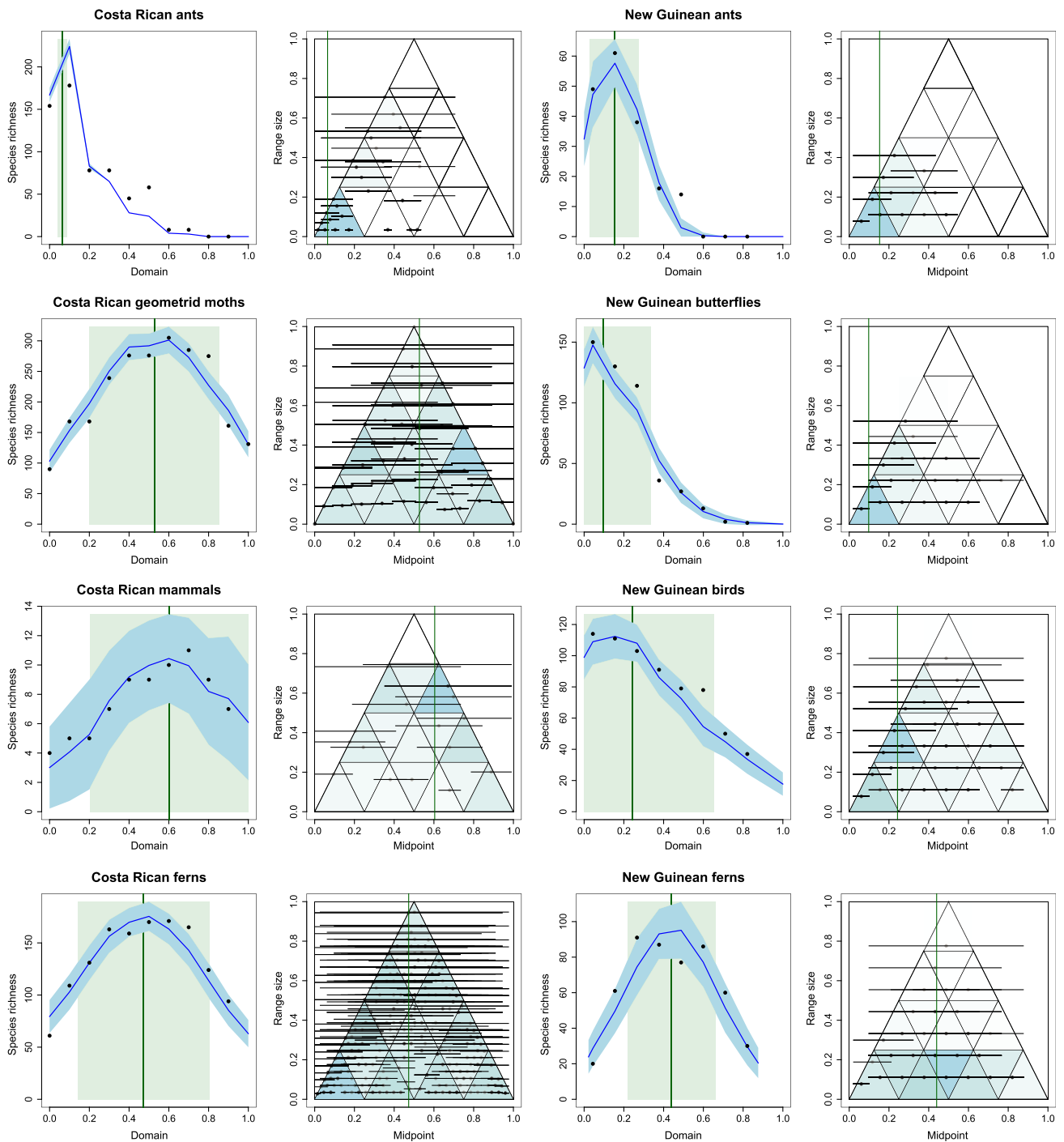


Figure 4 The Bayesian midpoint attractor model applied to four data sets from the same elevational gradient (or, for mammals, a nearby gradient) in Costa Rica (*panel columns 1 and 2*) and four data sets from a single elevational gradient in Papua New Guinea (*panel columns 3 and 4*). The number of empirical points (the density of black points and their grey saturation) and the average number of modelled points (blue saturation) among the 16 small triangles is significant at $P < 0.001$ for seven of the eight data sets (Costa Rican ferns are the exception; see *Centred Midpoint Attractors in Results*). A fifth data set from the same Costa Rican gradient appears in Fig. 3, and Fig. 5 shows seven additional data sets. See Fig. 3 for graphical details, Table 1 for statistical results and Table S1 (*Appendix 1*), for details of the data sets.

In such cases, the most conservative conclusion is that we cannot distinguish between pure geometric constraints and a broad (but not too broad) environmental attractor with a peak near the centre of the domain. Although the pure geometric constraints model has two fewer parameters and would

thus be favoured in a strict model selection approach, it seems more parsimonious, overall, to adopt a single model of interaction between attractor and constraints for all data sets. Other data sets with attractors closely centred on the domain (e.g. Costa Rican geometrid moths, for large ranges, Fig. 4,

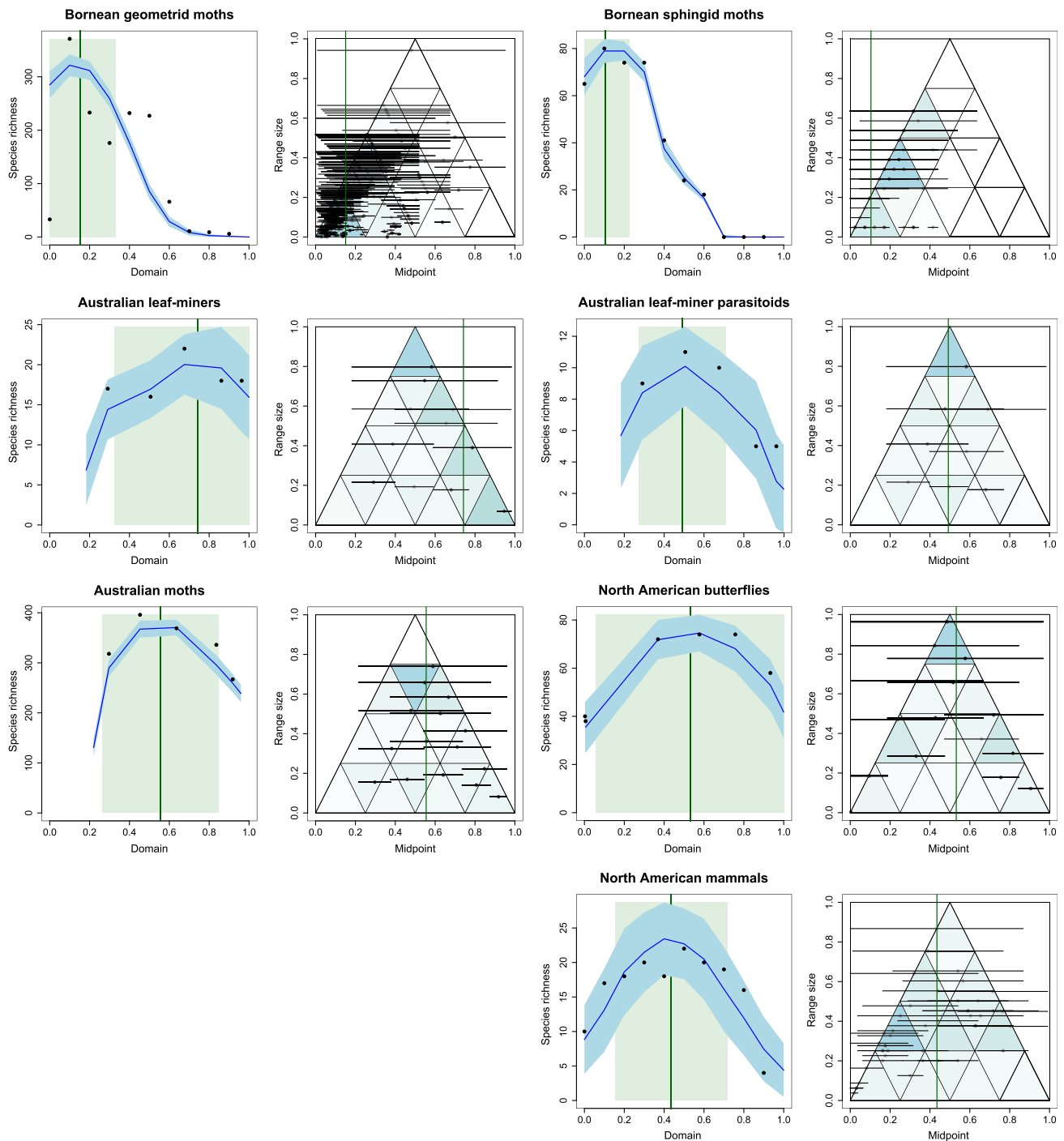


Figure 5 The Bayesian midpoint attractor model applied to seven data sets from Borneo, Australia and North America. See the caption of Fig. 3 for graphical details, Table 1 for statistical results and Table S1 (*Appendix 1*) for details of the data sets.

or Australian moths or leaf-miner parasitoids, Fig. 5) differ from random midpoint locations enough that the test detects the close correspondence between model and data (Table 1).

Mapping midpoint attractors onto environmental variables

Using the results from the midpoint attractor model, the third and fourth graph for each data set in Fig. S1 (*Appendix 1*)

present the results for all 16 data sets from the AIC-guided analyses of (1) the regression of the magnitude of the modelled midpoint attractor function on environmental variables, and (2) the regression of empirical richness on environmental variables. In all plots, the points represent the magnitude of the X and Y variables at evenly spaced elevations. Neither axis represents elevation itself. Table S2 (*Appendix 1*) provides the corresponding statistical results and comparisons.

Table 1 Midpoint attractors on a rescaled unit domain (0 = sea level, 1 = mountaintop) for 16 elevational data sets. The fitted attractor mean (A) and attractor standard deviation (B) define the environmental attractors that drive the modelled species richness patterns in Figs 3–5. Mean midpoint values were computed from the modelled midpoint distributions. Mean range size was computed from the empirical (= modelled) range sizes (RSFD). The statistical correspondence (assessed by R^2) between the midpoint density arising from the midpoint attractor model and empirical midpoint density was tested for significance for all ranges, for large ranges (≥ 0.25 of the unit domain) and for small ranges (< 0.25 of the unit domain) by a bootstrap procedure. Insignificant tests ($P > 0.05$) are reported in boldfaced italics. See Materials and Methods for details.

	Attractor mean (A)	Attractor SD (B)	Mean midpoint	Mean range	R^2 all ranges	P all ranges	R^2 large ranges	P large ranges	R^2 small ranges	P small ranges
Costa Rica data sets										
Ants	0.065	0.023	0.196	0.181	0.949	0.001	0.968	0.001	0.955	0.001
Arctiine moths	0.378	0.294	0.332	0.228	0.770	0.001	0.747	0.001	0.768	0.001
Geometrid moths	0.527	0.327	0.492	0.306	0.650	0.002	0.762	0.001	<i>0.155</i>	<i>0.999</i>
Ferns	0.473	0.331	0.479	0.303	0.466	0.999	0.617	0.997	0.368	0.999
Mammals	0.604	0.401	0.521	0.425	0.675	0.001	0.744	0.001	0.007	0.001
Papua New Guinea data sets										
Ants	0.153	0.123	0.199	0.156	0.867	0.001	0.995	0.001	0.832	0.001
Butterflies	0.098	0.239	0.205	0.180	0.954	0.001	0.998	0.001	0.941	0.001
Birds	0.243	0.411	0.330	0.285	0.918	0.001	0.899	0.001	0.968	0.001
Ferns	0.440	0.222	0.447	0.156	0.801	0.001	0.548	0.001	0.246	0.001
Australia border ranges data sets										
Moths	0.555	0.291	0.583	0.419	0.912	0.001	0.939	0.001	0.831	0.001
Leaf miners	0.742	0.418	0.630	0.426	0.555	0.015	0.542	0.013	0.569	0.999
Leaf-miner parasitoids	0.492	0.219	0.534	0.493	0.551	0.003	0.553	0.003	0.436	0.758
Borneo data sets										
Geometrid moths	0.151	0.181	0.226	0.173	0.840	0.001	0.626	0.001	0.868	0.001
Sphingid moths	0.104	0.120	0.214	0.342	0.993	0.001	1.000	0.001	0.966	0.001
North America data sets										
Butterflies	0.532	0.476	0.502	0.486	0.632	0.996	0.635	0.997	0.448	0.983
Mammals	0.435	0.282	0.381	0.353	0.435	0.001	0.371	0.009	0.724	0.001

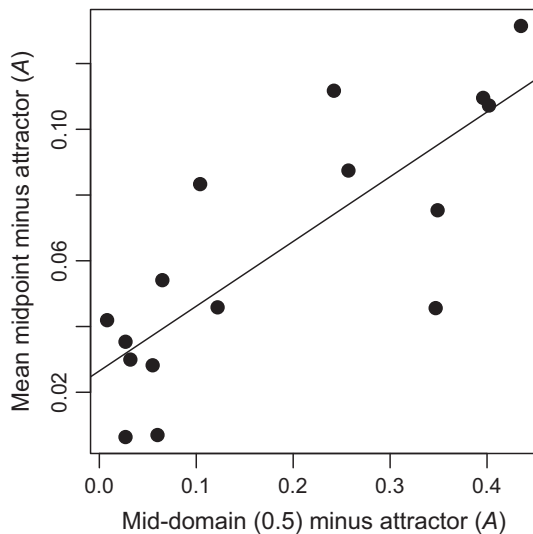


Figure 6 The signature of geometric constraints in the modelled patterns of species richness. The closer the modelled attractor lies to a domain limit, the greater the discrepancy between the location of the attractor and the mean location of range midpoints on the domain. The graph shows the relationship between $|(mean\ midpoint - attractor)|$ and $|(0.5 - attractor)|$. Each point represents a different data set ($n = 16$, $slope = 0.592$, $P < 0.002$). See Table 1 for attractor mean (parameter A) and mean midpoint values.

The environmental variables that best explained the modelled midpoint attractor often differed from the environmental variables that best predicted observed species richness. Only

three of the 16 data sets yielded an identical statistical model (or model group, when ΔAIC was < 3 between alternatives), in terms of the predictor variables included, for attractor and for species richness. However, the model with the lowest absolute AIC matched in 10 of the 16 data sets, if ΔAIC -grouped models were ignored (Table S2, illustrated in Fig. S1, Appendix 1).

Midpoint predictor models

For each data set, the same environmental variables assessed in interpreting midpoint attractors (Table S2 and Fig. S1, Appendix 1) were tested for the two midpoint predictor models, one with and the other without geometric constraints. In these models, an environmental variable determined the stochastic placement of range midpoints at locations across the domain. Across all data sets, 98 of 112 statistical tests (two models, 56 data set-variable combinations) strongly rejected the null hypothesis that modelled midpoints resemble the empirical ones, with $P < 0.001$ in nearly every case (Table S3, Appendix 1). Only four of the 16 data sets showed an acceptable fit ($P > 0.05$) to either of the midpoint predictor models. But these data sets were, not coincidentally, the four smallest, in terms of number of species (Australian leaf miners and their parasitoids, Costa Rican and North American mammals; Table S1, Appendix 1), and thus had the weakest statistical power to reject the null hypothesis.

DISCUSSION

By modelling and quantifying repeated underlying structures, which we call *attractors*, we offer a fresh approach to

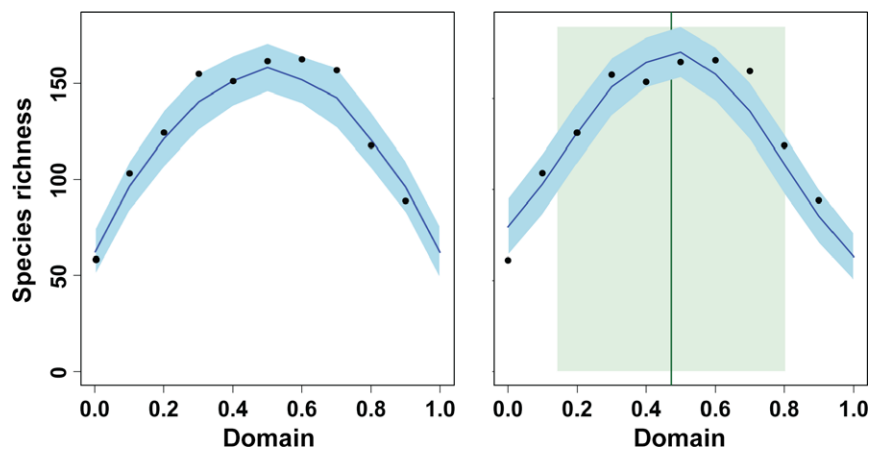


Figure 7 Costa Rican fern data set with no attractor (pure geometric constraints) (*left panel*) and with the best-fit midpoint attractor (*right panel*). The modelled curves differ slightly in shape, but the overall fit is quite similar. Empirical richness values are the black points, identical in the two plots.

characterising biogeographical patterns of species richness. In a broader perspective, we view the attractor not as a mechanism in itself, but as a unifying, intermediate link or layer, between data and mechanism. With two simple parameters, the Bayesian midpoint attractor model characterised the shape and location of a broad spectrum of species richness patterns for a wide variety of taxa along mountain slopes. Just as in other realms of biology, modelling function requires modelling constraints. In our model, these constraints were imposed by the domain boundaries and the range size frequency distribution. By characterising an underlying gradient of favourability, our midpoint attractor model offers a unifying approach to elevational richness gradients that has not been achieved by traditional, *ad hoc* interpretations of correlations of species richness with environmental variables (Gotelli *et al.* 2009).

The stochastic simulations used in the midpoint attractor model are simply a means of producing statistical distributions of richness and range location, under specified conditions, for comparison with corresponding empirical patterns. The algorithms used in these simulations are not, in themselves, intended to represent mechanistic processes in any literal sense. Even the notion of a midpoint attractor, representing a gradient of environmental ‘favourability’, is a conceptual stand-in for the unspecified biological mechanisms that ultimately lead to concentrations of ranges in certain regions of the domain: adaptive range shifts, diversification of lineages, differential extinction and other forces (Colwell & Rangel 2010). The pencilbox analogy (Fig. 1), likewise, is intended to demonstrate patterns, not processes.

Although the elevational richness patterns successfully modelled in this study varied widely in shape and location on the domain, the midpoint attractor model successfully reproduced not only taxon-specific peaks of species richness but also their underlying empirical midpoint distributions (Figs 3–5). The strong signature of geometric constraints in these results (Fig. 6) shows that the midpoint attractor, alone, is not responsible for the excellent fit of model to data. Instead, the seamless integration of attractor and constraints allows the model to generate patterns ranging from nearly monotonic

declines of species richness to perfectly symmetric mid-elevation humps.

It might be tempting to dismiss these results as a needlessly elaborate method of descriptive curve-fitting. A polynomial regression, for example would do an excellent job (in fact, a perfect job, with enough parameters) of fitting any of the distributions of sampling point empirical richness in Figs 3–5. Of course, the 16 data sets would vary in the number of parameters required (assuming model selection was applied), yielding a large table of fitted parameters for the data sets, many or most of them uninterpretable. Instead, the midpoint attractor efficiently unifies all data sets with the same two parameters of the attractor for every data set. Moreover, the sub-triangle statistical analysis of the joint distribution of midpoints and range sizes provides an additional, more-detailed assessment of fit, because it relies on the underlying empirical range and midpoint data. In contrast, using a polynomial regression (or direct fitting of a statistical distribution, such as the gamma distribution) to describe observed richness ignores the underlying data: the elevational ranges of the individual species. Furthermore, these methods provide no avenue to explore or document the role of geometric constraints (Fig. 6).

Constructing the midpoint attractor model in a Bayesian framework was not a matter of convenience, interpretation or fashion, but rather a logical necessity. Given the conjecture that a taxon-specific, location-specific, underlying gradient of favourability, interacting with geometric constraints, could explain elevational richness patterns, the appropriate way forward was to maximise the probability of a general, underlying model, challenged with a plethora of contrasting data sets – a fundamentally Bayesian approach.

Data sets with many ranges abutting the low-elevation domain limit (e.g. Costa Rican ants, Fig. 4, and Bornean geometrid and sphingid moths, Fig. 5) or the high-elevation domain limit (Australian leaf-miner parasitoids and North American butterflies, Fig. 5) strongly suggest an unexpressed potential for some species to prosper in environmental conditions more extreme than conditions at the lower or upper domain limit. In other words, range limits in geographical space, forced by the domain boundaries (e.g. sea level or

mountaintop), may not coincide with niche limits in niche space for such species (Colwell & Rangel 2010). The excellent performance of the doubly truncated Gaussian attractor and our finding that Algorithm 2 (spreading dye) provided a better fit than Algorithm 1 (classic), considered together, offer support for the inference that ranges that abut domain boundaries represent niches truncated by the limits of elevational gradients. In contrast, a species range that reaches neither of the domain limits on the gradient may – or may not – fully express the species' fundamental niche.

Shuffling the observed ranges (the RSFD) within a bounded domain, with or without a midpoint attractor, assumes that the RSFD is *representative* of the size distribution of elevational ranges for a particular taxon on a particular elevational gradient at the particular time that the data were taken (Colwell *et al.* 2004). Given that ranges are drawn without replacement from the RSFD and placed randomly on the domain (within geometric constraints), whereas observed ranges tend to be truncated at domain boundaries, the question then arises: does the midpoint attractor model produce a deficit of small ranges near the domain boundary and an excess of small ranges in mid-domain? If there were such an effect, we would expect it to be stronger for attractors located nearer a domain limit. We tested for this bias by comparing empirical to modelled midpoint density in sub-triangles 10 (near sea level), and 7 (mid-domain) (Fig. S3, *Appendix 1*), as a function of attractor location (A), for the 16 data sets. We found no evidence of any pattern of deficiency or excess in modelled midpoint density. If there is any bias, it is slight enough to be completely masked by the heterogeneous size and placement of ranges, both empirical and modelled. These results are consistent with the models of (Colwell & Hurtt 1994), who simulated range truncation for a classical MDE model, and found very little decrease in mean range size as the domain boundary was approached.

With or without geometric constraints, the midpoint predictor models, which assessed empirical environmental factors as candidate midpoint predictors, fitted observed elevational midpoint distributions very poorly (Table S3, *Appendix 1*), despite incorporating the empirical RSFD (in one variant) and having two free parameters, just like the midpoint attractor model. For the data sets in this study, the seemingly intuitive hypothesis that environmental conditions should predict the location of species range midpoints failed to account for most observed midpoint patterns. In contrast, the midpoint attractor model, which, by design, ignores environmental variables, yielded midpoint distributions very close to the empirical midpoint distributions. How can we reconcile this failure of the midpoint predictor model with the success of the midpoint attractor model? At least three, non-exclusive explanations are possible: (1) We may have used the 'wrong' environmental variables in the midpoint predictor models. Although the midpoint attractors, together with geometric constraints, produced a good fit to empirical species richness, the fit of the attractors themselves to environmental variables was often rather poor (Table S3; third panel in each graph in Fig. S1). The original investigators for our data sets measured important aspects of temperature, precipitation and other variables (such as plant cover) that are believed to affect

species richness on elevational gradients. Primary productivity is thought to be a key correlate of species richness for many groups (Storch *et al.* 2006). However, primary productivity is difficult to measure directly, is difficult to estimate accurately on small spatial scales from remotely sensed data, and is missing from all our data sets. (2) We might have analysed the right variables, but we had the wrong functional form. In preliminary analyses, however, alternative functional forms (e.g. logarithmic, exponential) did not improve the fit. For many of our data sets, such as Borneo geometrid moths and New Guinea butterflies, the high concentration of species range midpoints in the lower elevations of the domain cannot be accounted for by any univariate or multivariate transformation of the available environmental variables. (3) Lineage diversification with strong niche conservatism may have produced spatial concentrations of range midpoints in narrowly defined environments – a sort of theme-and-variations. Concentrations of elevational range midpoints may arise from 'colonisation' of new environments (e.g. transitions from lowland to montane specialists) followed by net diversification, with little divergence in environmental tolerances (e.g. Graham *et al.* 2014; Wu *et al.* 2014). A search for multimodal attractors and alignment with phylogenetic structure would be a fruitful area of future research.

Like *niche*, or *community*, or *ecosystem*, the idea of an environmental *attractor* reifies an abstract construct. Such constructs endure only if they prove adaptable and useful. In this study, we began with the idea of an attractor, treating it in a Bayesian framework as a model to be challenged by elevational data. But the idea of a range attractor model need not be limited to one-dimensional gradients, nor to terrestrial environments. The location and shape of midpoint attractors within a particular domain arise from the interactions between taxon, climate and history. Comparative study of the relative influence of these factors can be made rigorous and quantitative by fitting attractors to multiple data sets, as we have done in this study. The environmental and historical factors defining midpoint attractors in nature are likely to be complex, presenting a challenge for future research. But our approach, in which a modelled midpoint attractor drives the location of species ranges placed stochastically within a bounded domain, may prove more fruitful than further attempts to correlate patterns of species richness along bounded gradients with environmental factors.

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AUTHORSHIP

RKC and NJG conceived and implemented the models, carried out the analyses, and drafted the manuscript. RKC prepared the figures. LAA, JB, GB, TMF, MLF, KF, MK, RLK, PK, JK, JTL, SCM, CMM, JM, SN, KS, LS and AMS collected or provided data. All authors contributed substantially to the development of ideas, the interpretation of results and revision of the manuscript.

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